



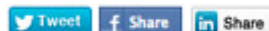
November 2013

1. Editorial

Jesús López Zaballos
EFFAS Chairman



We are pleased to present you a new format for the EFFAS Newsletter. With this, we want to strengthen the channels of communication and closeness with all our NMS and with the general public that has a close relationship with EFFAS. We hope you enjoy it and we encourage you to give us your suggestions and comments, which we appreciate very much.

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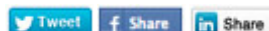
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2. EFFAS TQC meeting in Leuven (Belgium)

Last October 29th it took place the EFFAS Training & Qualification Commission meeting in Leuven (Belgium). Delegates from Austria, Belgium, Croatia, France, Germany, Great Britain, Italy, Netherlands, Portugal and Spain had the opportunity to discuss the new EFFAS professional certifications in Risk Management and ESG.

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3. KPMG sponsors the EFFAS ESG Program



EFFAS ESG Valuation, Measurement & Integration Training Programme
(EFFAS Executive Education Programme - Mastering ESG - Valuation, Measurement and Integration)

In collaboration with 

KPMG sponsors the EFFAS ESG program and will participate in the training programs in Spanish and Portuguese speaking countries in collaboration with the training schools of IEAF and APAF.

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4. EFFAS Conferences

EFFAS EMC members have participated in conferences organized by VBA (Amsterdam), HCMS (Budapest), SFAF (Paris) and AAFBR (Bucharest).

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5. EFFAS Position Papers

EFFAS continue to work with the financial and supervisory authorities for opinion, contributing our views to approach issues or matters of interest to the financial system in the various aspects that are attracting interest in Europe. You can find here the latest EFFAS position papers.

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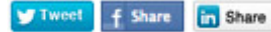
6. CISI recognizes the CIIA Diploma for retail

investment advice in the UK

The Chartered Institute for Securities & Investment (CISI) and the Association of Certified International Investment Analysts (ACIIA) have signed an agreement to support holders of the CIAA Qualification to gain authorisation as Retail Investment Advisers in Securities and/or Derivatives from the Financial Conduct Authority (FCA) in the UK.



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EFFAS NATIONAL MEMBERS

