



Examination Syllabus

EFFAS ESG Essentials[®]



MODULE 1

Sustainability, an overview

- 1.1. How did we get to this point?
- 1.2. Global Trends
- 1.3. Answers: is there a global will for change?
- 1.4. But...,what is sustainable finance?
- 1.5. The unstoppable advance of sustainable finance

MODULE 2

Regulatory context in Europe: implications for investment advisors

- 2.1. The European Union´s Action Plan: Financial market participants
- 2.2. In Europe: special attention to climate and environmental risks
- 2.3. An ambitious plan in Europe for sustainable transition
- 2.4 Sustainability reporting in Europe

MODULE 3

Voluntary Standards and Principles in Sustainable Finance

- 3.1. Responsible banking: purpose, commitments, and objectives
- 3.2. Responsible banking: investment and insurance principles
- 3.3 Green, climate, social and sustainable bonds and loan principles
- 3.4 Let's focus on what´s important: the concept of materiality
- 3.5 Double materiality
- 3.6 WORLD ECONOMIC FORUM: Dynamic materiality

MODULE 4

Sustainable investment and financing instruments

- 4.1. Introduction: sustainable finance and financial products?
- 4.2. Sustainable financing instruments: loans and bonds.
- 4.3. Sustainable investment products: investment funds.
- 4.4. ESG products in the insurance sector: insurance and pension plans.
- 4.5. ESG integration in the different asset classes.
- 4.6. Sustainability in wealth-management and advice.

MODULE 5

Advising the investor: sustainable investment strategies for portfolio construction

- 5.1. The sustainable finance ecosystem
- 5.2. Investment strategies with ESG criteria
- 5.3. Integrating ESG information in the investment process and practical case
(Business case study I: ESG Investment analysis and decision)
- 5.4. Objectives of the final investor

Annex: Case study II. Practical case of a product

1. Overview
2. SDG Global Equities
3. DWS Invest ESG Climate Tech
4. DWS Invest ESG Global Corporate Bonds